

P21161.P01

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. P21161 Total Pages

Inventor(s) or Application Identifier
Harald SEIDL and Martin GUTSCHETitle: TRENCH CAPACITOR WITH ISOLATION COLLAR
AND CORRESPONDING METHOD OF PRODUCTION

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

APPLICATION ELEMENTS	ACCOMPANYING APPLICATION PARTS
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>a. <input type="checkbox"/> Small Entity Statement(s)</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages <u>29</u>] (preferred arrangement set forth below) - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure</p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets <u>31</u>]</p> <p><input checked="" type="checkbox"/> Oath or Declaration [Total Pages <u>3</u>] a. <input type="checkbox"/> Newly executed (original or copy) <input checked="" type="checkbox"/> Unexecuted b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 20 completed) <u>[Note Box 6 below]</u> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Incorporation By Reference (useable if Box 5b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p> <p>7. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> <p>8. <input type="checkbox"/> CD-Rom or CD-R in duplicate, large table or Computer Program (<i>Appendix</i>)</p>	<p>9. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies</p> <p>10. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>11. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)</p> <p>12. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>13. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>14. <input type="checkbox"/> Preliminary Amendment</p> <p>15. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>16. <input checked="" type="checkbox"/> Figure of Drawing to be published <u>1C</u></p> <p>17. <input checked="" type="checkbox"/> Foreign priority claimed a. <input checked="" type="checkbox"/> Claim of Priority b. <input type="checkbox"/> Certified Copy of Priority Document(s)</p> <p>18. <input checked="" type="checkbox"/> Assignee: <u>INFINEON TECHNOLOGIES AG of München, GERMANY</u></p> <p>19. <input checked="" type="checkbox"/> Other: <u>Cover Letter under 37 CFR 1.53(b) and (f)</u> _____ _____ _____ _____</p>
<p>20. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior Application No. <u>/</u>, filed <u> </u>. <i>Prior application information: Examiner: _____ Group Art Unit: _____</i></p> <p>21. <input type="checkbox"/> Amend the specification by inserting before the first line the sentence: This application is a <u> </u> continuation-in-part, <u> </u> continuation, <u> </u> divisional, of Application No. <u> / </u>, filed <u> </u>.</p>	

Address all future correspondence to Customer No. 7055 at the present address of:

GREENBLUM & BERNSTEIN, P.L.C.
1941 Roland Clarke Place
Reston, VA 20191
(703) 716-1191

7/6/01

Date

Kathy H. Bernstein Reg. No. 33,329
 Signature
 Bruce H. Bernstein, Reg No. 29,027
 Typed or Printed Name

FEE TRANSMITTAL

Complete if Known

Application Number	Not Yet Assigned
Filing Date	Concurrently Herewith
First Named Inventor	H. SEIDL et al.
Group Art Unit	Unassigned
Examiner Name	Unassigned

TOTAL AMOUNT OF PAYMENT	(\$) <u>968.00</u>	Attorney Docket Number	P21161
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METHOD OF PAYMENT (check one)

FEE CALCULATION (continued)

- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 19-0089Deposit Account Name GREENBLUM & BERNSTEIN, P.L.C.

- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, including any required extension of time fees in any concurrent or future reply requiring a petition for extension of time for its timely submission (37 CFR 1.136(a)(3))

Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b)

- Payment Enclosed:

Check Credit Card Money Order Other

FEE CALCULATION (fees effective 12/29/99)

1. FILING FEE

Large Entity Fee Code (\$)	Entity Fee	Small Entity Fee Code (\$)	Entity Fee	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	<u>710</u>
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$)710

2. CLAIMS

Total Claims	-20=	Extra	Fee from below	=	Fee Paid
21	-20=	1	x 18	=	<u>18</u>
Independent	-3=	3	x 80	=	<u>240</u>
Claims					
Multiple Dependent Claims		x		=	<u>0</u>

Large Entity Fee Code (\$)	Entity Fee	Small Entity Fee Code (\$)	Entity Fee	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim
109	80	209	40	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)258

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Entity Fee	Small Entity Fee Code (\$)	Entity Fee	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR	
113	1,840*	113	1,840*	Prior to Examiner action	
115	110	215	55	Requesting publication of SIR after Examiner action	
116	390	216	195	Extension for response within 1st month	
117	890	217	445	Extension for response within 2nd month	
118	1,390	218	695	Extension for response within 3rd month	
128	1,890	228	945	Extension for response within 4th month	
119	310	219	155	Extension for response within 5th month	
120	310	220	155	Notice of Appeal	
121	270	221	135	Filing a brief in support of an appeal	
138	1,510	138	1,510	Request for oral hearing	
140	110	240	55	Petition to institute a public use proceeding	
141	1,240	241	620	Petition to revive unavoidably abandoned application	
142	1,240	242	620	Petition to revive unintentionally abandoned application	
143	440	243	220	Utility issue fee (or reissue)	
144	600	244	300	Design issue fee	
122	130	122	130	Plant issue fee	
123	50	123	50	Petitions to the Commissioner	
126	240	126	240	Petitions related to provisional applications	
581	40	581	40	Submission of IDS	
146	710	246	355	Recording each patent assignment per property (times number of properties)	
149	710	249	355	Filing a submission after final rejection (37 CFR 1.129(a))	
179	710	279	355	For each additional invention to be examined (37 CFR 1.129(b))	
169	900	169	900	Request for Continued Examination (RCE)	
				Request for expedited examination of a design application	
				Other fee (specify)	
				Other fee (specify)	
				SUBTOTAL (3) (\$) <u>0.00</u>	

*Reduced by Basic Filing Fee paid

SUBMITTED BY

Complete (if applicable)

Typed or Printed Name	Bruce H. Bernstein	Reg. Number	29,027
Signature	<i>Leslie H. Bernstein Reg. No. 33,329</i>	Date	7/6/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant :H. SEIDL et al.

Serial No : Not Yet Assigned

Filed : Concurrently Herewith

For :TRENCH CAPACITOR WITH ISOLATION COLLAR AND
CORRESPONDING METHOD OF PRODUCTION

COVER LETTER ACCOMPANYING U.S. PATENT APPLICATION
FILED UNDER 37 C.F.R. 1.53(b)and 1.53(f)

Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

Enclosed is a new patent application for filing in the U.S. Patent and Trademark Office under 37 C.F.R. 1.53(b)and 1.53(f) in which the Declaration and Power of Attorney attached thereto are in unexecuted form. An executed Declaration and Power of Attorney will be filed within the time period set forth in the Notice to File Missing Parts of Application, unless such time period has been extended by the filing of a petition accompanied by the extension fee under the provisions of 37 C.F.R. 1.136(a).

Related to this, a correspondence address is provided in the unexecuted Declaration and Power of Attorney, and is as follows:

GREENBLUM & BERNSTEIN, P.L.C.
1941 Roland Clarke Place
Reston, Va. 20191

The above-identified application includes:

- 29 pages of specification (including Abstract);
- 21 total claims; with 6 independent;
- 31 sheets of drawings with 78 figures;
- an unexecuted Declaration and Power of Attorney.

If there are any questions, please contact the undersigned at the below-listed telephone number.

Respectfully submitted,
H. SEIDL et al.

*Leslie J. Papernik Reg. No.
Bruce H. Bernstein
Reg. No. 29,027* 33,329

July 6, 2001
GREENBLUM & BERNSTEIN, P.L.C.
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